

T. ROSE & ASSOCIATES, INC.
INDEPENDENT REGISTERED INVESTMENT ADVISOR
SUITE 3260
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Phone: (612) 371-4705 Fax: (612) 332-0884
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To: Mr. Larry Parker

Date: May 1st, 2014

Re: Financial Institution Disclosure Form City of Minneapolis, Minnesota

T. Rose & Associates, Inc. provides the City of Minneapolis (the City) with investment services including the buying and selling of stocks, bonds and other securities and other debt related services.

T. Rose & Associates, Inc. as a small corporate has had limited participation in charitable programs or scholarships within the City during the year immediately predating this filing, however, its founder Timothy Rose has been involved in the following over the years:

Mr. Rose served the community as an Adjunct Professor of Risk Management for the University of St. Thomas Graduates' program. He was an Investment Industry Advisor for Congressional Financial Services Oversight Committee. He is a former Commissioner with the Metropolitan Sports Facilities Commission and was appointed to the Minnesota Twins Ballpark Implementation Committee. Mr. Rose has served as a Trustee of North Park University, Chicago IL, and was co-chair of the Finance Committee for Children's Cancer Research Fund, as well as founding board member of The Learning Center for Homeless Families, an alternative school for families in transition based in Minneapolis.

As T. Rose & Associates, Inc. itself is a "minority owned" business enterprise we have no internal policies regarding utilization of subcontractors which are designated as "women owned", "minority owned", or "disabled" business enterprises.

Thank you for your interest.

Sincerely,

Timothy M. Rose
President & Owner

